

**ACADEMIC PERFORMANCE INDICATORS (APIs)  
IN DIRECT RECRUITMENTS & PROMOTION OF  
TEACHERS AT SHRI MATA VAISHNO DEVI UNIVERSITY**

**PART A: GENERAL INFORMATION AND ACADEMIC  
BACKGROUND**

**Self-Assessment for the Performance Based Appraisal System (PBAS) for  
Academic Performance Index (API) as per AICTE 2012 Regulations**

1. Name (in Block Letters):
2. Father's Name/Mother's Name:
3. Department/School:
4. Current Designation & Academic Grade Pay:
5. Date of last Promotion:
6. Which position and grade pay are you an applicant under CAS?
7. Date of eligibility for promotion:
8. Date and Place of Birth:
9. Sex:
10. Marital Status:
11. Nationality:
12. Indicate whether belongs to SC/ST/OBC category:
13. Address for correspondence(with Pin code)
14. Permanent  
Address (with Pin  
code) Telephone  
No:  
Email:
15. Whether acquired any degrees or fresh academic qualifications during the year:

16. Examination	Name of the Board/University	Year of passing	Percentage of Marks obtained	Division/ Class/Grade	Subject
High School/Matric					

17. Academic Qualifications(Matric till Post-Graduation):

Examination	Name of the Board/University	Year of passing	Percentage of Marks obtained	Division/ Class/Grade	Subject
High School/Matric					
Intermediate					
B.A/B.Sc./B.Com/ B.Mus.					
M.A/M.Sc./M.Com/ M.Mus.					
Other Examination, if any					

18. Research Degree(s)

Degrees	Title	Date of award	University
M.Phil.			
Ph.D. /D.Phil.			
D.Sc. / D.Litt.			

19. Appointments held prior to joining this Institution:

Designation	Name of Employer	Date of Joining		Salary with Grade	Reason of Leaving
		Joining	Leaving		

20. Posts held after appointment at this Institution:

Designation	Department	Date of actual Joining		Grade
		From	To	

21. Period of teaching experience: P.G Classes ( in year)  UG. Classes(in years)

22. Research Experience excluding years spent in M.Phil. /Ph.D.(In years)

23. Fields of Specialization under the Subject/Discipline

(a)

(b)

24. Academic Staff College Orientation/Refresher Course attended during the year:

Name of the Course/ Summer School	Place	Duration	Sponsoring Agency

**PART B: ACADEMIC PERFORMANCE INDICATORS**

(Please see detailed instructions of this PBAS Performa before filling out this section)

**Note:** Please read the instructions given at the end of Performa for calculating API Score in each category.

**CATEGORY: I. TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES**

**(i) Lectures, Seminars, Tutorials, Practical's, Contact Hours undertaken as a %age of Lectures allocated. (give semester-wise details, where necessary)**

S. No.	Course/Paper	Level	Mode of teaching *	No. of classes hours per week allotted	No. of Classes conducted	% of Classes/Practical's taken as per documented record

\*Lecture (L), Seminar (S), Tutorial (T), Practical (P), Contact Hours (C)

		Self Assessed API Score	Score verified by the Screening Committee
(a)	Classes Taken (max 50 for 100% performance & proportionate score up to 80% performance, below which no score may be given)		

		Self Assessed API Score	Score verified by the Screening Committee
(a)(ii)	Teaching Load in excess of AICTE norms (max score:10)		

**(ii) Reading / Instructional material consulted and additional knowledge resources provided to students**

S. No.	Course/Paper	Consulted	Prescribed	Additional Resource Provided	Score verified by the Screening Committee

API score based on Preparation and imparting of knowledge/ instruction as per curriculum & syllabus enrichment by providing additional resources to Students (max. score:20)				<b>Self-Assessed API Score</b>	

**(iii) Use of Participatory and Innovative Teaching-Learning Methodologies, Updating of Subject Content, Course Improvement etc.**

S. No.	Short Description	Self-Assessed API Score	Score verified by the Screening Committee
	Total Score (Max Score: 20)		

**(iv) Examination Duties assigned and performed**

S. No.	Type of Examination Duties	Duties Assigned	Extent to which carried out (%)	Self - Assessed API Score	Score verified by the Screening Committee
	Total Score (Max: 25)				

**CATEGORY: II. CO-CURRICULAR, EXTENSION, PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES**

Please mention your contribution to any of the following

S. No.	Type of Activity	Average Hrs/week	Self - Assessed API Score	Score verified by the Screening Committee
(i)	Student related Extension, Co-curricular & field based Activities (such as extension work through NSS/NCC and other channels, cultural activities, subject related events, advertisement and counseling)			
	Total (Max:20)			
(ii)	Contribution to Corporate Life and Management of the department and Institution through participation in academic and administrative committees and responsibilities	Yearly/Semester wise responsibilities	<b>Self-Assessed API Score</b>	

	Total (Max: 15)			
(iii)	Professional Development Activities (such as participation in seminars, conferences, short-term training courses, talks, lectures, membership of associations, dissemination and general articles, not covered in category III below):		Self-Assessed API Score	
	Total (Max:15)			
	<b>Total Score (I + ii + iii)</b>			

**CATEGORY: III. RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTION**

**(A) (i) Published Papers in Journals :**

[Only publications made in Journals in Web of Science as per Thomson Reuters list i.e., Science Citation Index®, Science Citation Index Expanded™, Social Sciences Citation Index® and Arts & Humanities Citation Index®, and SCOPUS, shall be accepted for the purpose of direct recruitment and promotion.]

S.No.	Title with page no.	Journal	ISSN/I SBN No.	Sr.No. of the Journal as per UGC notified List of Journals	Whether peer reviewed. Impact factor, if any	No. of Co-authors	Whether you are the main author	Self-Assessed API Score	Score verified by the Screening Committee
1									
2									
3									
4									
5									
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7									
8									
9									
10									
11									
12									
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14									
15									
16									

**(A) (ii) Full papers in Conference / Seminar Proceedings:**

[Seminar / Conference proceedings as full papers, etc. (Abstracts not to be included)]

S.No.	Title with page no.	Details of conference Publications	ISSN/I SBN No.	No. of Co-authors and date of publication	Whether you are the main author	International / National	Self-Assessed API Score	Score verified by the Screening Committee


**(B) (i) Books published as single author or as editor**

S.No.	Title with page no.	Type of Book & Authorship	Publisher & ISSN/ ISBN No.	Whether Peer Reviewed	No. of Co-authors and date of publication	Whether you are the main author	International / National / State / Local publisher	Self - Assessed API Score	Score verified by the Screening Committee

**(B) (ii) Chapters in Books published as single author or as editor**

S.No.	Title with page no.	Type of Book & Authorship	Publisher & ISSN/ ISBN No.	Whether Peer Reviewed	No. of Co-authors and date of publication	Whether you are the main author	International / National / State / Local publisher	Self - Assessed API Score	Score verified by the Screening Committee

**III C). Ongoing and Completed Research Projects and Consultancies**

**C (i). Sponsored Research projects carried out / outgoing**

S.No.	Title	Agency	Period	Grant/ Amount Mobilized (Rs Lakhs)	Self-Assessed API Score	Score verified by the Screening Committee

**C (ii) Consultancy Projects carried out / outgoing**

S.No.	Title	Agency	Period	Grant/ Amount Mobilized (Rs. Lakhs)	Whether Policy Documents/Patent as outcome	Self - Assessed API Score	Score verified by the Screening Committee

**C (iii) Completed Projects Quality Evaluation**

S.No.	Title	Agency	Period	Grant/ Amount Mobilized (Rs. Lakhs)	Whether completed Project report accepted by the funding agency or not?	Major / Minor Project	Self - Assessed API Score	Score verified by the Screening Committee

**C (iv) Projects outcome / outputs**

S.No.	Title	Agency	Grant/ Amount Mobilized (Rs. Lakhs)	Major Policy document of Govt. Bodies at Central / State Level	Patent / Technology transfer / product / process	Self - Assessed API Score	Score verified by the Screening Committee

**(D) Research Guidance**

S.No.	Number Enrolled	Thesis Submitted	Degree Awarded	Self - Assessed API Score	Score verified by the Screening Committee
M. Phil / M.E. /M.Tech. / Masters in appropria te field					
Ph.D.					

**E(i) Training Courses, Teaching – learning – evaluation technology progarmmes, Faculty Development Programmes (not less than one week duration)**

S.No.	Programme	Duration	Organised by	Self - Assessed API Score	Score verified by the Screening Committee




**E (ii) Papers presented in Conferences, Seminars, Workshops, Symposia**

S.No.	Title of the paper presented	Title of Conference/Seminar etc.	Date(s) of the event	Organised by	Whether International/National/State/Regional/University or College Level	Self - Assessed API Score	Score verified by the Screening Committee

**E(iii) Invited Lectures and Chairmanships at National or International Conference/ Seminars / Symposia, etc.**

S.No.	Title of Paper	Title of Conference/Seminar etc.	Date(s) of the event	Organised by	Whether International/National	Self - Assessed API Score	Score verified by the Screening Committee

**IV. SUMMARY OF API SCORES**

	Criteria	Last Academic Year	Total- Self Assessed API Score for the Assessment Period	Verified API Score
I	Teaching, Learning and Evaluation related activities			
II	Co-Curricular , Extension Professional Development etc.			
	<b>Total I + II</b>			
III	Research and Academic Contribution			

**OTHER RELEVANT INFORMATION**

Please give details of any other credential, significant contributions, awards received etc. not mentioned earlier.

S.No.	Details (Mention Year, Value etc. where relevant)


LIST OF ENCLOSURES: (Please attach copies of certificates, sanction orders, papers etc. wherever necessary)

- |    |    |
|----|----|
| 1. | 6. |
| 2. | 7. |
| 3. | 8. |
| 4. | 9. |
| 5. | 10 |

I certify that the information provided is correct as per records available with the University and/or documents enclosed along with the duly filled PBAS Performa.

Signature of the Faculty with  
Designation, Place & Date

Signature of Head of Department/School

N.B: The individual PBAS Performa duly filled along with all enclosures, submitted for CAS promotions will be duly verified by the University as necessary and placed before the Screening-Cum-Evaluation Committee or Selection Committee for assessment /verification.

**BRIEF EXPLANATIONS:**

**CATEGORY III**

Category	Activity	Faculty of Engineering	Faculties of Languages /Humanities / Arts / Social Sciences	Maximum Score for University Teacher
III(A)	(i) Research Papers Published in:	Only publications made in Journals in Web of Science as per Thomson Reuters list i.e., Science Citation Index®, Science Citation Index Expanded™, Social Sciences Citation Index® and Arts & Humanities Citation Index®, and SCOPUS, shall be accepted for the purpose of direct recruitment and promotion.		<b>25 per Publication</b>
	(ii) Full Papers published in Conference / Seminar proceedings	Seminar / Conference proceedings as full papers, etc. (Abstracts not to be included)	Seminar / Conference proceedings as full papers, etc. (Abstracts not to be included)	<b>International 10 / publication</b> <b>National 05 / publication</b>
III(B)	Research Publications (books, chapters in books other than referred Journal Articles)	Text/Reference, Books published by International Publishers, with an established peer review system.	Text/Reference, Books published by International Publishers, with an established peer review system.	<b>50 /sole author, 10 / chapter in an edited book</b>
		Subject Books, published by National Level publishers, with ISBN/ISSN number or State/Central Govt. publications with ISBN / ISSN numbers.	Subject Books, published by National Level publishers, with ISBN/ISSN number or State/Central Govt. publications with ISBN / ISSN numbers.	<b>25/ sole author, and 5/chapter in edited books.</b>
		Subject Books, published by Other local publishers, with ISBN/ISSN number.	Subject Books, published by Other local publishers, with ISBN/ISSN numbers.	<b>15 / sole author, and 3 / chapter in edited books</b>
		Chapters contributed to edited knowledge based volumes published by International Publishers.	Chapters contributed to edited knowledge based volumes published by International Publishers.	<b>10 / Chapter</b>
		Chapters contributed to edited knowledge based volumes published by International Publishers, Chapters in knowledge based volumes in Indian / National Level Publishers with ISBN/ISSN numbers and with numbers of national and international directories.	Chapters in knowledge based volumes in Indian / National Level publishers with ISBN / ISSN number and with numbers of national and international directories..	<b>5 / Chapter</b>
III (C)	<b>RESEARCH PROJECTS</b>			
III( C) (i)	Sponsored Projects carried out / ongoing	(a) Major Projects amount mobilized with grants above Rs. 30 lakhs	(a) Major Projects amount mobilized with grants above Rs. 5 lakhs	<b>20 per Project</b>
		(b) Major Projects amount mobilized with grants above Rs. 5 lakhs up to Rs. 30 lakhs	(b) Major Projects amount mobilized with minimum of Rs. 3 lakhs up to Rs. 5 lakhs	<b>15 per Project</b>
		(c) Major Projects (Amount mobilized with grants above Rs. 50,000/- up to Rs. 5 lakhs)	(c) Major Projects (Amount mobilized with grants above Rs. 25,000/- up to Rs. 3 lakhs)	<b>10 per Project</b>
III( C) (ii)	Consultancy Projects carried out / ongoing	Amount mobilized with a minimum of Rs. 3 lakhs	Amount mobilized with a minimum of Rs. 1 lakh	<b>10 for every Rs. 3.0 lakhs and Rs. 1.0 lakh respectively</b>
III( C) (iii)	Consultancy Projects	Completed Project Report (Acceptance from funding agency)	Completed Project Report (Accepted from funding agency)	<b>20/ each major project</b>

	Quality Evaluation			<b>10/ each minor project</b>
III( C) (iv)	Projects Outcome/Outputs	Major Policy or document of the Govt. Bodies at Central and State Level.	Major Policy or document of the Govt. Bodies at Central and State Level.	<b>30 / each national level output or patent</b>
		Patent/Technology transfer/Product/Process	Patent/Technology transfer/Product/Process	<b>50 / each international level output or patent</b>
III(D)	RESEARCH GUIDANCE			
III(D)(i)	M.Phil./M.E./M.Tech.	Degree awarded only	Degree awarded only	<b>3 / each candidate</b>
III(D)(ii)	Ph.D.	Degree awarded	Degree awarded	<b>10 / candidate</b>
		Thesis submitted	Thesis submitted	<b>7 / candidate</b>
III (E)	TRAINING COURSES AND CONFERENCES/SEMINARS / WORKSHOP PAPERS			
III (E)(i)	Attended Refresher Courses, Methodology workshops, Training, Teaching Learning-Evaluation Technology Programmes, Soft Skills development Programmes. Faculty development Programmes (Max:30 points)	Not less than two weeks duration.	Not less than two weeks duration.	<b>20 / each</b>
		One week duration.	One week duration.	<b>10/ each</b>
III (E)(ii)	Papers in Conferences / Seminars / Workshops etc. **	Participation and presentation of research papers (oral / poster) in	Participation and presentation of research papers (oral / poster) in	
		a) International	a) International	<b>15/ each</b>
		b) National Level	b) National Level	<b>10 / each</b>
		c) Regional / State Level	c) Regional / State Level	<b>5 / each</b>
		d) Local University / College level	d) Local University / College level	<b>3 / each</b>
III (E) (iii)	Invited Lectures or Presentations	a) International	a) International	<b>10 / each</b>
		b) National Level	b) National Level	<b>5 / each</b>
** If a paper presented in Conference / Seminar is published in the form of Proceedings, the points would accrue for the publication (III) (A) and not under presentation (III) (E) (ii).				

\* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) indexed journal / impact factor less than 1 by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 25 points.

The API for joint publications will have to be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor of the teacher would share equally total score, if the number of authors are more, then the first two authors would share equally 60% of the total points and the remaining authors would share equally, 40% of the points.