

**ACADEMIC PERFORMANCE INDICATORS (APIs)  
IN DIRECT RECRUITMENTS & PROMOTION OF  
TEACHERS AT SHRI MATA VAISHNO DEVI UNIVERSITY**

**PART A: GENERAL INFORMATION AND ACADEMIC  
BACKGROUND**

**Self-Assessment for the Performance Based Appraisal System (PBAS) for  
Academic Performance Index (API) as per AICTE 2012 Regulations**

1. Name (in Block Letters):
2. Father's Name/Mother's Name:
3. Department/School:
4. Current Designation & Academic Grade Pay:
5. Date of last Promotion:
6. Which position and grade pay are you an applicant under CAS?
7. Date of eligibility for promotion:
8. Date and Place of Birth:
9. Sex:
10. Marital Status:
11. Nationality:
12. Indicate whether belongs to SC/ST/OBC category:
13. Address for correspondence(with Pin code)
14. Permanent  
Address (with Pin  
code) Telephone  
No:  
Email:
15. Whether acquired any degrees or fresh academic qualifications during the year:

16. Examination	Name of the Board/University	Year of passing	Percentage of Marks obtained	Division/Class/Grade	Subject
High School/Matric					

17. Academic Qualifications(Matric till Post-Graduation):

Examination	Name of the Board/University	Year of passing	Percentage of Marks obtained	Division/Class/Grade	Subject
High School/Matric					
Intermediate					
B.A/B.Sc./B.Com/ B.Mus.					
M.A/M.Sc./M.Com/ M.Mus.					
Other Examination, if any					

18. Research Degree(s)

Degrees	Title	Date of award	University
M.Phil.			
Ph.D. /D.Phil.			
D.Sc. / D.Litt.			

19. Appointments held prior to joining this Institution:

Designation	Name of Employer	Date of Joining		Salary with Grade	Reason of Leaving
		Joining	Leaving		

20. Posts held after appointment at this Institution:

Designation	Department	Date of actual Joining		Grade
		From	To	

21. Period of teaching experience: P.G Classes ( in year)  UG. Classes(in years)

22. Research Experience excluding years spent in M.Phil. /Ph.D.(In years)

23. Fields of Specialization under the Subject/Discipline

(a)

(b)

24. Academic Staff College Orientation/Refresher Course attended during the year:

Name of the Course/ Summer School	Place	Duration	Sponsoring Agency

**PART B: ACADEMIC PERFORMANCE INDICATORS**

(Please see detailed instructions of this PBAS Performa before filling out this section)

**Note:** Please read the instructions given at the end of Performa for calculating API Score in each category.

**CATEGORY: I. TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES**

**(i) Lectures, Seminars, Tutorials, Practical's, Contact Hours undertaken as a %age of Lectures allocated. (give semester-wise details, where necessary)**

S. No.	Course/Paper	Level	Mode of teaching *	No. of classes hours per week allotted	No. of Classes conducted	% of Classes/ Practical's taken as per documented record

\*Lecture (L), Seminar (S), Tutorial (T), Practical (P), Contact Hours (C)

		Self Assessed API Score	Score verified by the Screening Committee
(a)	Classes Taken (max 50 for 100% performance & proportionate score up to 80% performance, below which no score may be given)		

		Self Assessed API Score	Score verified by the Screening Committee
(ii)	Teaching Load in excess of AICTE norms (max score:10)		

**(ii) Reading / Instructional material consulted and additional knowledge resources provided to students**

S. No.	Course/Paper	Consulted	Prescribed	Additional Resource Provided	Score verified by the Screening Committee

API score based on Preparation and imparting of knowledge/ instruction as per curriculum & syllabus enrichment by providing additional resources to Students (max. score:20)				<b>Self Assessed API Score</b>	

**(iii) Use of Participatory and Innovative Teaching-Learning Methodologies, Updating of Subject Content, Course Improvement etc.**

S. No.	Short Description	Self Assessed API Score	Score verified by the Screening Committee
	Total Score (Max Score: 20)		

**(v) Examination Duties assigned and performed**

S. No.	Type of Examination Duties	Duties Assigned	Extent to which carried out (%)	Self Assessed API Score	Score verified by the Screening Committee
	Total Score (Max: 25)				

**Maximum Total API Score in Cat I = 125 and Minimum API Score in Cat I = 75**

**CATEGORY: II. CO-CURRICULAR, EXTENSION, PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES**

Please mention your contribution to any of the following

S. No.	Type of Activity	Average Hrs/week	Self Assessed API Score	Score verified by the Screening Committee
(i)	Student related Extension, Co-curricular & field based Activities (such as extension work through NSS/NCC and other channels, cultural activities, subject related events, advisement and counseling)			
	Total (Max:20)			
(ii)	Contribution to Corporate Life and Management of the department and Institution through participation in academic and administrative committees and responsibilities	Yearly/Semester wise responsibilities	<b>Self Assessed API Score</b>	

	Total (Max: 15)			
(iii)	Professional Development Activities (such as participation in seminars, conferences, short-term training courses, talks, lectures, membership of associations, dissemination and general articles, not covered in category III below):		<b>Self Assessed API Score</b>	
	Total (Max:15)			
	<b>Total Score (I + ii + iii) (Max:50, Min :15)</b>			

**CATEGORY: III. RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTION**

**(A) (i) Published Papers in Journals :**

[Only publications made in Journals in Web of Science as per Thomson Reuters list i.e., Science Citation Index®, Science Citation Index Expanded™, Social Sciences Citation Index® and Arts & Humanities Citation Index®, and SCOPUS, shall be accepted for the purpose of direct recruitment and promotion.]

S.No.	Title with page no.	Journal	ISSN/ISB N No.	Whether peer reviewed. Impact factor, if any	No. of Co-authors	Whether you are the main author	Self Assessed API Score	Score verified by the Screening Committee
1								
2								
3								
4								
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8								
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11								
12								
13								
14								
15								
16								

**(A) (ii) Full papers in Conference / Seminar Proceedings:**

[Seminar / Conference proceedings as full papers, etc. (Abstracts not to be included)]

S.No.	Title with page no.	Details of conference Publications	ISSN/I SBN No.	No. of Co-authors and date of publication	Whether you are the main author	Inter-national / National	Self Assessed API Score	Score verified by the Screening Committee


**(B) (i) Books published as single author or as editor**

S.No.	Title with page no.	Type of Book & Authorship	Publisher & ISSN/ ISBN No.	Whether Peer Reviewed	No. of Co-authors and date of publication	Whether you are the main author	International / National / State / Local publisher	Self Assessed API Score	Score verified by the Screening Committee

**(B) (ii) Chapters in Books published as single author or as editor**

S.No.	Title with page no.	Type of Book & Authorship	Publisher & ISSN/ ISBN No.	Whether Peer Reviewed	No. of Co-authors and date of publication	Whether you are the main author	International / National / State / Local publisher	Self Assessed API Score	Score verified by the Screening Committee

**III C). Ongoing and Completed Research Projects and Consultancies**

**C (i). Sponsored Research projects carried out / outgoing**

S.No.	Title	Agency	Period	Grant/ Amount Mobilized (Rs Lakhs)	Self Assessed API Score	Score verified by the Screening Committee

**C (ii) Consultancy Projects carried out / outgoing**

S.No.	Title	Agency	Period	Grant/ Amount Mobilized (Rs. Lakhs)	Whether Policy Documents/Patent as outcome	Self Assessed API Score	Score verified by the Screening Committee

**C (iii) Completed Projects Quality Evaluation**

S.No.	Title	Agency	Period	Grant/ Amount Mobilized (Rs. Lakhs)	Whether completed Project report accepted by the funding agency or not?	Major / Minor Project	Self Assessed API Score	Score verified by the Screening Committee

**C (iv) Projects outcome / outputs**

S.No.	Title	Agency	Grant/ Amount Mobilized (Rs. Lakhs)	Major Policy document of Govt. Bodies at Central / State Level	Patent / Technology transfer / product / process	Self Assessed API Score	Score verified by the Screening Committee

**(D) Research Guidance**

S.No.	Number Enrolled	Thesis Submitted	Degree Awarded	Self Assessed API Score	Score verified by the Screening Committee
M. Phil / M.E. /M.Tech.					
Ph.D.					

**E(i) Training Courses, and Conference / Seminar / Workshop Papers (not less than one week duration)**

S.No.	Programme	Duration	Organised by	Self Assessed API Score	Score verified by the Screening Committee



**E (ii) Papers presented in Conferences, Seminars, Workshops, Symposia**

S.No.	Title of the paper presented	Title of Conference/Seminar etc.	Date(s) of the event	Organised by	Whether International/ National/State/Regional/University or College Level	Self Assessed API Score	Score verified by the Screening Committee

**E(iii) Invited Lectures or presentations at National or international level for Conference/ Seminars / Symposia, etc.**

S.No.	Title of Lecture/ Academic Session	Title of Conference/Seminar etc.	Date(s) of the event	Organised by	Whether International/ National	Self Assessed API Score	Score verified by the Screening Committee

**IV. SUMMARY OF API SCORES**

	Criteria	Last Academic . Year	Total- Self Assessed API Score for the Assessment Period	Verified API Score
I	Teaching, Learning and Evaluation related activities			
II	Co-Curricular , Extension Professional Development etc.			
	<b>Total I + II</b>			
III	Research and Academic Contribution			

**OTHER RELEVANT INFORMATION**

Please give details of any other credential, significant contributions, awards received etc. not mentioned earlier.

S.No.	Details (Mention Year, Value etc. where relevant)

LIST OF ENCLOSURES: (Please attach copies of certificates, sanction orders, papers etc. wherever necessary)

- |    |    |
|----|----|
| 1. | 6. |
| 2. | 7. |
| 3. | 8. |
| 4. | 9. |
| 5. | 10 |

I certify that the information provided is correct as per records available with the University and/or documents enclosed along with the duly filled PBAS proforma.

Signature of the Faculty with  
Designation, Place & Date

Signature of Head of Department/School

N.B: The individual PBAS Proforma duly filled along with all enclosures, submitted for CAS promotions will be duly verified by the University as necessary and placed before the Screening-Cum-Evaluation Committee or Selection Committee for assessment /verification.

**BRIEF EXPLANATIONS OF CATEGORY III**

Category	Activity	Faculty of Engineering	Faculties of Languages /Humanities Social Sciences / Management	Maximum points for University /college teacher*
III(A)	<b>Research Papers Published in:</b>	Only publications made in Journals in Web of Science as per Thomson Reuters list i.e., Science Citation Index®, Science Citation Index Expanded™, Social Sciences Citation Index® and Arts & Humanities Citation Index®, and SCOPUS, shall be accepted for the purpose of direct recruitment and promotion.		<b>15 per Publication</b>
		Seminar / Conference proceedings as full papers, etc. (Abstracts not to be included)	Seminar / Conference proceedings as full papers, etc. (Abstracts not to be included)	<b>International: 10 / publication</b>
				<b>National: 5 / publication</b>
III(B)	<b>Research Publications (books, chapters in books) other than refereed journal articles</b>	Text/Reference, Books published by International Publishers, with an established peer review	Text/Reference, Books published by International Publishers, with an established peer review system	<b>50/ Sole Author; 10 / Chapter in an edited book</b>
		Subject Books, published by National Level publishers, with ISBN/ISSN number or State/Central Govt. publications with ISBN/ISSN number.	Subject Books, published by National Level publishers, with ISBN/ISSN number or State/Central Govt. publications with ISBN/ISSN number.	<b>25/ Sole Author; 5 / Chapter in an edited books</b>
		Subject Books, published by Other local publishers, with ISBN/ISSN numbers.	Subject Books, published by Other local publishers, with ISBN/ISSN numbers.	<b>15/ Sole Author; 3 / Chapter in an edited books</b>
		Chapters contributed to edited knowledge based volumes published by International Publishers	Chapters contributed to edited knowledge based volumes published by International Publishers	<b>10 / Chapter</b>

		Chapters contributed to edited knowledge based volumes published by International Publishers. Chapters in knowledge based volumes by Indian / National level publishers with ISBN/ISSN numbers and with numbers of national and international directories.	Chapters in knowledge based volumes by Indian / National level publishers with ISBN/ISSN numbers and with numbers of national and international directories.	<b>5 / Chapter</b>
<b>III (C) RESEARCH PROJECTS</b>				
III (C) (i)	Sponsored Projects carried out / outgoing	(a) Major Projects amount mobilized with grants above Rs. 30 lakhs	(a) Major Projects amount mobilized with grants above Rs. 5 lakhs	<b>20 per Project</b>
		(b) Major Projects amount mobilized with grants above Rs. 5 lakhs up to Rs. 30 lakhs	(b) Major Projects amount mobilized with grants above Rs. 3 lakhs up to Rs. 5 lakhs	<b>15 per Project</b>
		(c) Minor Projects amount mobilized with grants above Rs. 50,000/- up to Rs. 5 lakhs	(c) Minor Projects amount mobilized with grants above Rs. 25,000/- up to Rs. 3 lakhs	<b>10 per Project</b>
III (C) (ii)	Consultancy Projects carried out / ongoing	Amount mobilized with a minimum of Rs. 3 lakhs	Amount mobilized with a minimum of Rs. 1.0 lakh	<b>10 for every Rs. 3 lakhs and Rs. 1 lakh, respectively</b>
III (C) (iii)	Completed Projects Quality Evaluation	Completed Project Report (Acceptance from funding Agency)	Completed Project Report (Accepted by funding Agency)	<b>20 / each Major Project</b>
				<b>10 / each Minor Project</b>
III (C) (iv)	Projects Outcome/Outputs	Major policy document of Govt. Bodies at Central and State Level	Major policy document of Govt. Bodies at Central and State Level	<b>30 / each national level output or patent</b>
		Patent/Technology transfer/Product/Process	Patent/Technology transfer/Product/Process	<b>50 / each for International level</b>
<b>III(D) RESEARCH GUIDANCE</b>				
III(D)(i)	M.Phil./ME / M.Tech.	Degree awarded only	Degree awarded only	<b>3 per each candidate</b>
III(D)(ii)	Ph.D.	Degree awarded	Degree awarded	<b>10 per each candidate</b>
		Thesis submitted	Thesis submitted	<b>7 per each candidate</b>
III (E)	Training Courses and Conferences/Seminars / Workshop Papers			
III (E)(i)	Attended Refresher courses, Methodology Workshops, Training, Teaching Learning-Evaluation Technology Programmes, Soft Skills development Programmes, Faculty Development programmes (Max: 30 points)	Not less than two weeks duration	Not less than two weeks duration	<b>20 / each</b>
		One week duration	One week duration	<b>10 / each</b>
III (E) (ii)	Papers in Conferences /	Participation and Presentation of research papers (oral / poster) in	Participation and Presentation of research papers (oral / poster) in	

	Seminars / Workshops, etc. **	a) International Conference	b) International Conference	<b>15 / each</b>
		c) National	d) National	<b>10 / each</b>
		e) Regional / State Level	f) Regional / State Level	<b>5 / each</b>
		g) Local University / College Level	h) Local University / College Level	<b>3 / each</b>
III (E)(iv)	Invited Lectures or presentations for Conferences / Symposia	International	International	<b>10 / each</b>
		National Level	National Level	<b>5 / each</b>

**\* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) indexed journals - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 25 points.**

**§ The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor would share equally total score, if number of authors are more, then first two authors would share equally 60% of the total points and the remaining authors would share equally 40% of the points.**

**\*\* If a paper presented in Conference / Seminar is published in the form of proceedings, the points would accrue for the publication [III (A)] and not under presentation [III (E) (ii)].**